

Avolin

Restricted Party Screening



Let's Start the Conversation

US federal laws state that you cannot sell anything to parties, people, companies, etc. that are listed on government issued restricted party lists. You cannot even have any of the restricted parties be part of your transactions or business processes. If you do, and get caught, the penalties are stiff - recent cases involve export bans, multi-million dollar fines for shipment of regular PC's, and can even include Imprisonment. The penalties are exponentially higher for companies that do not have any compliance measures in place that would prevent shipping to or doing business with restricted parties.

Tradebeam Restricted Party Screening Solution

TradeBeam's Restricted Party Screening solution provides a simple way to check all parties you are doing business with against the wide variety of Restricted Party lists. The solution can be implemented in a manual non-integrated fashion or integrated with your other corporate systems. Names of parties you deal with are compared to names on the RP lists and the user is alerted to (potential) matches.

The TradeBeam RP Screening solution is equipped with many features such as the various matching algorithms, white listing, reporting, batch screening, and ongoing screening solutions. The cloud based technology means there is no need for your staff to check and update all of the different published lists from the government or require long lead times to implement a solution. RP Screening is ready for integration with any ERP and can be up and running in less than 5 business days.

The TradeBeam solution is used in a wide variety of industries and processes millions of transactions each year. Our Restricted Party Screening solution is used for any and all parties included in regular transactions (from carrier to agent to buyer) but is also used to screen visitors or potential buyers. The service can be used manually or integrated with ERP or on-line functionality (ordering, downloads, etc.).

Functionality

The user can upload multiple parties at the same time for 'batch screening':

Upload Parties

Upload Parties
Upload History

CSV File Choose File No file chosen
[\(Download CSV Template\)](#)

Action Type Create or Update

Each uploaded party and location will be checked to see if it already exists.
 Any uploaded parties not already in the Party Master will be created.
 Uploaded parties in the Party Master will be updated based on the information provided in the uploaded spreadsheet.
 Any fields left blank in the spreadsheet will not be updated.

Screening Perform Restricted Party Screening on the parties I upload.

Upload

Users can create their own screening rules, opting in or out of specific Restricted Party lists, and manage their own settings:

Create Screening Rule - Weights

✓ Screening Rule information has been successfully submitted.

Name Test 112	Party Group All Parties
Description	Status Pending
Last Modified By Anne van de Heetkamp	Last Modified Time 02/10/15 12:06

Information
Weights
Exception Words

Page (1 of 3) **Total Records** 32 << first < prev 1 2 3 next > last >> 15 Per Page

Restricted Party List	Exact Match Algorithm							Screened Party %	List Party %	Soundex Algorithm
Name	Name	Street	City	State	Country	Postal Code			Name	
<input checked="" type="checkbox"/> Asian Development Bank Anti Corruption List	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> Canada Anti-Terrorism Financing List	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> Chemical and Biological Weapons Sanctions Lists	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> Comprehensive Iran Sanctions, Accountability, and Divestment Act (CISADA)	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> Cumulative Warning List	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> Debarred Parties	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> Denied Persons List	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	
<input checked="" type="checkbox"/> EAR Embargo	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> 100			<input checked="" type="checkbox"/>					
<input checked="" type="checkbox"/> Entity List	<input checked="" type="checkbox"/> 90	<input checked="" type="checkbox"/> 65	<input checked="" type="checkbox"/> 25	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 15	<input checked="" type="checkbox"/> 5			<input checked="" type="checkbox"/> 50	

Cancel Previous Next

Resolving matches is intuitive and simple with a screen that allows to 'approve' or 'deny', resolve matches once or indefinitely, and the user can click through all identified matches.

Restricted Party Resolution

Party Farraq Al Shabani	Location Default - 1423598940799
Screening Status On Hold	Screening Time 02/10/15 12:11
Screening Reference Number 1423598940799	Screening Group

Risk **Low Risk**

Initial Review Mark as Complete

Management Review Mark as Complete

Resolution Approve - Indefinite

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Restricted Party Name	Restricted Party List	Relevance	Score																				
Afghanistan	State Department Embargo	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th>Match Words</th> <th>Screened Party</th> <th>List Party</th> <th>Total Score</th> <th>Algorithm</th> <th>Name</th> <th>Street</th> <th>City</th> <th>State</th> <th>Country</th> </tr> <tr> <td>Afghanistan</td> <td>20%</td> <td>100%</td> <td>100</td> <td>Exact Match</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>100</td> </tr> </table>	Match Words	Screened Party	List Party	Total Score	Algorithm	Name	Street	City	State	Country	Afghanistan	20%	100%	100	Exact Match	0	0	0	0	100	100
Match Words	Screened Party	List Party	Total Score	Algorithm	Name	Street	City	State	Country														
Afghanistan	20%	100%	100	Exact Match	0	0	0	0	100														

Scalability

TradeBeam's solutions are extremely scalable. For Restricted Party Screening only, we support clients that perform a single annual batch screen as well as customers that screen tens of thousands parties on a daily basis.

More Information and Contact

For more information, please visit us at: <http://www.Avolin.com/products/tradebeam>



Avolin provides critical software solutions to enterprises around the world, specializing in Customer Relationship Management, Knowledge Management, IT Support and Supply Chain Management. Over 1,400 customers in more than 50 countries use our portfolio of solutions to help them provide outstanding customer service, keep their day-to-day operations running smoothly and make intelligent decisions based on relevant and real-time data. Our customers are at the center of everything we do and our singular goal is to deliver the right solutions for their industry-specific workflows helping to keep them at the forefront of their industries. For more information, visit www.avolin.com.